tify that this correspondence is being deposited with the US Postal Service with sufficient postage as Mail in an envelope addressed to MS Sequence, Commissioner for Patents, P.O. Box 1450, Alexandria, 2313-1450, on the date shown below. March 15, 2004 Date: Aida Martinez **PATENT** Docket No. GC816 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE In re Application of Aehle, et al. Group Art Unit: 1639 Serial No. 10/688,255 Examiner: Unassigned Filed: October 16, 2003 For: Generation of Stabilized Proteins By Combinatorial Consensus Mutagenesis TRANSMITTAL LETTER FOR NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION MS Sequence Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 Sir: In complete response to the Notice to File Missing Parts of Nonprovisional Application dated February 3, 2004, enclosed please find: Two Combined Declarations and Powers of Attorney signed by the [X] inventor(s) and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e); Petition for a \_\_\_\_ Month Extension of Time; Assignment document, Form PTO-1595, and the \$40.00 Assignment [X] Recording Fee; Revocation & New Power of Attorney; [ ] **Preliminary Amendment** [X] [X] Statement of Sameness

[X]	Paper copy of Sequence Listing (24 pages)
[X]	Diskette copy of Sequence Listing
[]	Request for Use of an Identical Computer Readable Form in Anothe
	Application Under 37 CFR § 1.821(e);
[]	Notice to Comply with Requirements for Patent Applications
	Containing Nucleotide Sequence and/or Amino Acid Sequence
	Disclosures (copy of Notice to be returned with response);
[X]	Form PTO-1533 (copy of Notice to be returned with response); and
[]	Other:
[X]	Charge \$ 170.00 to Deposit Account No. 07-1048.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 07-1048 (Docket No. GC816). A duplicate of this paper is enclosed.

Respectfully submitted,

Date: March 15, 2004

Kamrin T. Macknight Registration No. 38,320

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Palo Alto, CA 94304 Tel: 650-846-5838

Fax: 650-845-6504



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United Status Patent and Trademurk Office Address COMMISSIONER FOR PATENTS P.O. Doz 1450

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APPLICATION NUMBER

FILING OR A CONDAIN

TRST NAMED APPLIÇANT

ATTORNEY DOCKET NUMBER

10/688,255

10/16/2003

Wolfgang Aehle

GC816

GENENCOR INTERNATIONAL, INC 925 PAGE MILL ROAD PALO ALTO, CA 94304-1013

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CONFIRMATION NO. 4567
FORMALITIES LETTER
\*0000000011822238\*

Date Mailed: 02/03/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

## SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

By Kamrin MacKnight In re Application of Reg. No. 38,230 Aehle et al. Serial No. 10/688,255 Mailed March 15, 2004 Filed October 16, 2003 Docket No. GC816 The following have been received in the US Patent Office on the date stamped: Transmittal (2 pages) duplicate; Copy of Notice to File Missing Parts; (2 pages) 2 Executed Declarations & Power of Attorney (pages 4); Assignment cover sheet with attached Assignment (6 pages); duplicate of cover sheet; Preliminary Amendment (3 Pages); Paper copy of Sequence Listing (24 pages); Diskette Copy of Sequence Listing; and

In re Application of Aehle et al. Serial No. 10/688,255 Filed October 16, 2003 Docket No. GC816

By Kamrin MacKnight Reg. No. 38,230

Statement of Sameness (1 page).

Mailed March 15, 2004

The following have been received in the US Patent Office on the date stamped:

Transmittal (2 pages) duplicate;

Copy of Notice to File Missing Parts; (2 pages)

2 Executed Declarations & Power of Attorney (pages 4);
Assignment cover sheet with attached Assignment (6 pages); duplicate of cover sheet;
Preliminary Amendment (3 Pages);
Paper copy of Sequence Listing (24 pages);

Diskette Copy of Sequence Listing; and

Statement of Sameness (1 page).

